Received by NSD/FARA Registration Unit 04/22/2019 10:05:58 AM OMB No. 1124-0003; Expires May 31, 2020

U.S. Department of Justice

Washington, DC 20530

Amendment to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. File this amendment form for any changes to a registration. Compliance is accomplished by filing an electronic amendment to registration statement and uploading any supporting documents at https://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: https://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: https://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503

| 1. Name of Registrant | 2. Registration No. | |
|---|--|----------|
| Hill and Knowlton Strategies, LLC | 3301 | <i>r</i> |
| 3. This amendment is filed to accomplish the following indicates | cated purpose or purposes: | |
| ☐ To give a 10-day notice of change in information as re | equired by Section 2(b) of the Act. | |
| ☐ To correct a deficiency in | | |
| ☐ Initial Statement | | |
| ☐ Supplemental Statement for the period ending _ | | |
| Other purpose (specify) | | |
| ▼ To give notice of change in an exhibit previously filed | d. | |
| If this amendment requires the filing of a document or doc The Saudi Stock Exchange Company or Tadawul ("Tadaw accompanied with emails authorizations. For completer Tadawul. | wul") authorizes work to Registrant pursuant | |
| 5. Each item checked above must be explained below in full of the item in the registration statement to which it pertain | | |
| This filing amends Exhibit B to Registrant's Registration dated January 9, 2019. | | |

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| EXE | CU | TI | O | N |
|-----|----|----------|---|-----|
| | | <i>.</i> | • | 1.4 |

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

| (Date of signature) (Print or type name under each signature or provide electronic | | | ctronic signature |
|--|---|--------------------|-------------------|
| April 22, 2019 | | /s/ Meredith Marks | eSigned |
| * | • | | |
| | | | |
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| | | | <u> </u> |

This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.



STATEMENT OF WORK No. 4

This Statement of Work ("SOW") is entered into between Hill and Knowlton Strategies, LLC d/b/a Hill+Knowlton Strategies ("H+K") and Tadawul ("Client") pursuant to that certain agreement entered into between the Parties (the "Agreement"). Solely to the extent that the terms of this SOW conflict with any of the terms of the Agreement, and this SOW explicitly states that it intends to modify the conflicting terms, this SOW supersedes the Agreement. Otherwise, this SOW is governed by and subject to all terms of the Agreement.

1. <u>Description of Project Services</u>:

H+K International team to provide support for all Tadawul communications that include an international audience. All of the below items will be in English only, unless otherwise noted.

- Message Refinement / talking points
- Creation of speaking and interview briefing packs
- Media Training & Message Coaching
- Creation and refinement of press release and media alerts
- Creation of rollout plans tied to specific announcements
- Support all tier one pro-active and reactive media relations
 - o Interview specifics
 - Fact checking
 - o Creation of reactive statements
- Opportunistic Media Meetings Leveraging Executive Travel
- Research and support speaking and conference attendance opportunities for Khalid Al Hussan and Sarah Al Suhaimi
 - Draft speeches/remarks/Q&As
- Tier one global, US and UK and GCC English speaking media Media Relations support/pitching/monitoring interviews
- Arabic media in KSA and elsewhere Media Relations support and briefing materials (Tadawul will take the lead on media outreach with support from H+K)
- Monitoring in social media and traditional coverage only around announcements, speaking opportunities and specific interviews scheduled by H+K
- Weekly call, maintain dashboard and Tadawul master media list
- Local Arabic media support monitoring interviews on the telephone and at events held at the Tadawul, as needed
- Local Arabic support to prepare interview briefing documents
- Local Arabic support to monitor for traditional and social media only around announcements, speaking opportunities and specific interviews scheduled by H+K
- Monthly report
- 2. Start Date: 1 April 2019

- 3. Expected Completion Date: 31 June 2019
- 4. Fees and Payment Terms:

Client shall pay a project fee of \$110,000 USD.

A 5% VAT will be added to all monthly fees.

This budget does not include out of pockets expenses, such as PRNewswire or travel.

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Subject:

FW: H+K International Team Tadawul SOW #4 April - June 2019

Date:

Tuesday, April 16, 2019 at 7:36:54 PM Eastern Daylight Time

From:

Amy Rosenberg

To:

Jorge Leal

Priority:

High

Attachments: H+K International Team Tadawul SOW #4 April - June 2019 .docx

.----Original Message-----

From: Mohammed I. Al Abdullah < mohammed.abdullah@tadawul.com.sa >

Sent: Thursday, March 28, 2019 1:30 PM

To: Amy Rosenberg < Amy Rosenberg@hkstrategies.com >

Cc: Peter Poulos < Peter Poulos @hkstrategies.com >; Mohammad M. Hassoobah

<mohammad.hassoobah@tadawul.com.sa>; Abdullah S. Al Sharif abdullah.sharif@tadawul.com.sa Subject: Re: REVISED H+K International Team Tadawul SOW #4 April - June 2019 for your Signature

Hi Amy,

Assuming the SOW covers Tadawul subsidiaries then it's approved.

Thank:you

From: "Amy Rosenberg" < Amy Rosenberg@hkstrategies.com < mailto: Amy Rosenberg@hkstrategies.com >> Date: Thursday, March 28, 2019 at 3:24:47 PM

To: "Mohammed I. Al Abdullah"

<mohammed.abdullah@tadawul.com.sa<mailto:mohammed.abdullah@tadawul.com.sa>>

Cc: "Peter Poulos" <Peter.Poulos@hkstrategies.com<mailto:Peter.Poulos@hkstrategies.com>>, "Mohammad M. Hassoobah" < mohammad.hassoobah@tadawul.com.sa < mailto:mohammad.hassoobah@tadawul.com.sa >>, "Abdullah S. Al Sharif" a, "Amy and S. Al Sharif@tadawul.com.sa, "Amy

Rosenberg" < Amy.Rosenberg@hkstrategies.com < mailto: Amy.Rosenberg@hkstrategies.com >>

Subject: RE: REVISED H+K International Team Tadawul SOW #4 April - June 2019 for your Signature

Hi Mohammad,

Attached is the SOW to reflect the amended budget and we also inserted the following three items:

- Local Arabic media support monitoring interviews on the telephone and at events held at the Tadawul, as needed
- Local Arabic support to prepare interview briefing documents
- Local Arabic support to monitor for traditional and social media only around announcements, speaking opportunities and specific interviews scheduled by H+K

If you could please respond to this email attaching and the SOW and granting approval, I will not need to get a signature from your CFO.

Have a good time in Dubai.

Best,

Amy

Received by NSD/FARA Registration Unit 04/22/2019 10:05:58 AM

----Original Message-----

From: Mohammed I. Al Abdullah < mohammed.abdullah@tadawul.com.sa >

Sent: Thursday, March 28, 2019 2:12 AM

To: Amy Rosenberg < Amy.Rosenberg@hkstrategies.com >

Cc: Peter Poulos < Peter Poulos@hkstrategies.com >; Mohammad M. Hassoobah

<mohammad.hassoobah@tadawul.com.sa>; Abdullah S. Al Sharif abdullah.sharif@tadawul.com.sa>

Subject: RE: REVISED H+K International Team Tadawul SOW #4 April - June 2019 for your Signature

Dear Amy,

As discussed yesterday, please send an updated SOW with 110K.

Regards,